

Date Mailed: September 19, 2006

Sheet 1 of 1

FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)	Docket Number: 13033.12US01	Application Number: 10/698,819
	Applicant: CONRAD ET AL.	
	Filing Date: 10/31/03	Group Art Unit: 3735

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS							
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
CH	WO 2005/082452 A1	09/09/2005	PCT				
CH	WO 2006/072571 A1	07/13/2006	PCT				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		

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PATENT TRADEMARK OFFICE

EXAMINER /Christine Hopkins/	DATE CONSIDERED 12/11/2006
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INFORMATION DISCLOSURE STATEMENT

IN AN APPLICATION

(Use several sheets if necessary)

Docket Number:

13033.12US01

Application Number:

10/698,819

Applicant: CONRAD ET AL.

Filing Date: 10/31/2003

Group Art Unit: 3736

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
CH	2001/0050085 A1	12/13/2001	Knudson et al.			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
CH	WO 2005/044158 A1	05/19/2005	PCT				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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	Applicant: CONRAD ET AL.	
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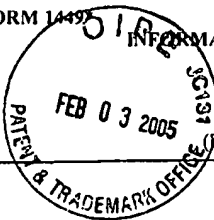
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EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
CH	5,979,456	11/09/1999	Magovern			
	6,216,702 B1	04/17/2001	Gjersøe			
	2002/0049479 A1	04/25/2002	Pitts			
	2004/0045556 A1	03/11/2004	Nelson et al.			
	6,748,950 B2	06/15/2004	Clark et al.			
	2004/0134491 A1	07/15/2004	Pflueger et al.			
	2004/0139975 A1	07/22/2004	Nelson et al.			
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	2004/0153127 A1	08/05/2004	Gordon et al.			

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						YES	NO

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Applicant: CONRAD ET AL.

Filing Date: 10/31/2003

Group Art Unit: 3736

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						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

CH		Thomas, A. et al., "Preliminary findings from a prospective, randomized trial of two tongue-base surgeries for sleep-disordered breathing," <i>Otolaryngology-Head and Neck Surgery</i> , Vol. 129, No. 5, pp. 539-546 (November 2003)

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Date Mailed: May 24, 2004

Sheet 1 of 1

FORM 1449*

INFORMATION DISCLOSURE STATEMENT

Docket Number:

13033.12US01

Application Number:

10/689,819

IN AN APPLICATION

(Use several sheets if necessary)

Applicant: CONRAD ET AL.

Filing Date: 10/31/2003

Group Art Unit: 3736



U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
CH	WO/2004/021869 A2	03/18/2004	PCT				
CH	WO/2004/021870 A2	03/18/2004	PCT				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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Date Mailed: February 18, 2004

Sheet 1 of 1

FORM 1449* INFORMATION DISCLOSURE STATEMENT  IN AN APPLICATION (Use several sheets if necessary)	Docket Number: 13033.12US01	Application Number: 10/698,819
	Applicant: CONRAD ET AL.	
	Filing Date: 10/31/2003	Group Art Unit: UNKNOWN

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EXAMINER	/Christine Hopkins/	DATE CONSIDERED	12/11/2006
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Applicant: CONRAD ET AL.

Filing Date: 10/31/2003

Group Art Unit: UNKNOWN

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

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	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
CH	44 12 190 A1	10/12/1995	DE			X	
CH	199 20 114 A1	11/09/2000	DE			X	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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FORM 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)	Docket Number: 13033.12US01	Application Number: FILED HEREWITH
	Applicant: CONRAD ET AL.	
	Filing Date: FILED HEREWITH	Group Art Unit: UNKNOWN

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
CH	5,843,021	12/1/1998	EDWARDS ET AL.			
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CH	6,431,174	8/13/2002	KNUDSON ET AL.			
CH	6,523,542	2/25/2003	METZGER ET AL.			
CH	6,250,307	6/26/2003	CONRAD ET AL.			
CH	6,601,584	8/5/2003	KNUDSON ET AL.			

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	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
CH		Eisle et al., "Direct Hypoglossal Nerve Stimulation in Obstructive Sleep Apnea", <u>Arch. Otolaryngol. Head Neck Surg.</u> , Vol. 123, pp. 57 - 61 (1997)
CH		Miller et al., "Role of the Tongue Base Suspension Suture with the Repose System Bone Screw in the Multilevel Surgical Management of Obstructive Sleep Apnea", <u>Otolaryngol. Head Neck Surg.</u> , Vol. 126, pp. 392 - 398 (2002)
CH		Powell et al., "Radiofrequency Tongue Base Reduction in Sleep-Disordered Breathing: A pilot study", <u>Otolaryngol. Head Neck Surg.</u> , Vol. 120, pp. 656 - 664 (1999)
CH		Powell et al., "Radiofrequency Volumetric Reduction of the Tongue - A Porcine Pilot Study for the Treatment of Obstructive Sleep Apnea Syndrome", <u>Chest</u> , Vol. 111, pp. 1348 - 1355 (1997)

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